

11403 16698 U.S. PTO

PTO/SB/05 (08-03)

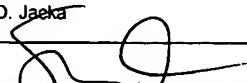
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	10387-28
First Inventor	PHIL D'EON
Title	CASE-BASED REASONING SYSTEM AND METHOD HAVING FAULT ISOLATION MANUAL TRIGGER CASES
Express Mail Label No.	

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>19</u>] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>10</u>] 5. Oath or Declaration [Total Sheets <u>1</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other:	
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: ____ / Prior application information: Examiner ____ Art Unit: ____ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
19. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number		1059	
		OR <input type="checkbox"/> Correspondence address below	
Name	BERESKIN & PARR		
Address	BOX 401, 40 KING STREET WEST		
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Telephone	(416) 957-1606	Fax	(416) 361-1398
Name (Print/Type)	Shawn D. Jaeka	Registration No. (Attorney/Agent)	43,379
Signature		Date	November 13, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 468.00

Complete if Known

Application Number	N/A
Filing Date	N/A
First Named Inventor	D'EON, Phil
Examiner Name	N/A
Art Unit	N/A
Attorney Docket No.	10387-28

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account: # 6103Deposit
Account
Number
Deposit
Account
Name

022095

Bereskin & Parr

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	385.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			385.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			43.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65	1053 130	1053 130	Surcharge - late filing fee or oath	
1052 50	2052 25	1812 2,520	1812 2,520	Surcharge - late provisional filing fee or cover sheet	
1804 920*	1804 920*	1805 1,840*	1805 1,840*	Non-English specification	
1805 1,840*	1805 1,840*	1251 110	2251 55	For filing a request for ex parte reexamination	
1251 110	2251 55	1252 420	2252 210	Requesting publication of SIR prior to Examiner action	
1252 420	2252 210	1253 950	2253 475	Requesting publication of SIR after Examiner action	
1253 950	2253 475	1254 1,480	2254 740	Extension for reply within first month	
1254 1,480	2254 740	1255 2,010	2255 1,005	Extension for reply within second month	
1255 2,010	2255 1,005	1401 330	2401 165	Extension for reply within third month	
1401 330	2401 165	1402 330	2402 165	Extension for reply within fourth month	
1402 330	2402 165	1403 290	2403 145	Extension for reply within fifth month	
1403 290	2403 145	1451 1,510	1451 1,510	Notice of Appeal	
1451 1,510	1451 1,510	1452 110	2452 55	Filing a brief in support of an appeal	
1452 110	2452 55	1453 1,330	2453 665	Request for oral hearing	
1453 1,330	2453 665	1501 1,330	2501 665	Petition to institute a public use proceeding	
1501 1,330	2501 665	1502 480	2502 240	Petition to revive - unavoidable	
1502 480	2502 240	1503 640	2503 320	Petition to revive - unintentional	
1503 640	2503 320	1460 130	1460 130	Utility issue fee (or reissue)	
1460 130	1460 130	1807 50	1807 50	Design issue fee	
1807 50	1807 50	1806 180	1806 180	Plant issue fee	
1806 180	1806 180	8021 40	8021 40	Petitions to the Commissioner	
8021 40	8021 40	1809 770	2809 385	Processing fee under 37 CFR 1.17(q)	
1809 770	2809 385	1810 770	2810 385	Submission of Information Disclosure Stmt	
1810 770	2810 385	1801 770	2801 385	Recording each patent assignment per property (times number of properties)	
1801 770	2801 385	1802 900	1802 900	Filing a submission after final rejection (37 CFR 1.129(a))	
1802 900	1802 900			For each additional invention to be examined (37 CFR 1.129(b))	
				Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					40.00

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Shawn D. Jacka	Registration No. (Attorney/Agent)	43,379	Telephone	(416) 364-7311
Signature		Date	November 13, 2003		

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